

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

11345/047001

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/089218INTERNATIONAL APPLICATION NO.
PCT/IB00/01486INTERNATIONAL FILING DATE
26 September 2000PRIORITY DATE CLAIMED
27 September 1999

TITLE OF INVENTION

WINDOWING SYSTEMS

APPLICANT(S) FOR DO/EO/US

Alex RUELLE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
 - ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
 - ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 - ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 - ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

European Search Report (4 pages)**22511**

PATENT TRADEMARK OFFICE

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(a)(1)) 10/089218		INTERNATIONAL APPLICATION NO. PCT/IB00/01486		ATTORNEY'S DOCKET NUMBER 11345/047001	
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24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>																					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$890.00																					
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">CLAIMS</th> <th style="width:25%;">NUMBER FILED</th> <th style="width:25%;">NUMBER EXTRA</th> <th style="width:15%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>119 - 20 =</td> <td>99</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>23 - 3 =</td> <td>20</td> <td>x \$84.00</td> </tr> <tr> <td colspan="3">Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/></td> <td>\$280.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS</td> <td>= \$4,632.00</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	119 - 20 =	99	x \$18.00	Independent claims	23 - 3 =	20	x \$84.00	Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/>			\$280.00	TOTAL OF ABOVE CALCULATIONS			= \$4,632.00	\$0.00	
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Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/>			\$280.00																						
TOTAL OF ABOVE CALCULATIONS			= \$4,632.00																						
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00																					
SUBTOTAL =				\$4,632.00																					
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00																					
TOTAL NATIONAL FEE =				\$4,632.00																					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				\$40.00																					
TOTAL FEES ENCLOSED =				\$4,672.00																					
				Amount to be refunded \$																					
				charged \$																					

- a. ☒ A check in the amount of \$4,672.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0591. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

ROSENTHAL & OSHA L.L.P.
 1221 McKinney, Suite 2800
 Houston, Texas 77010

Telephone: (713) 228-8600
 Facsimile: (713) 228-8778

SIGNATURE

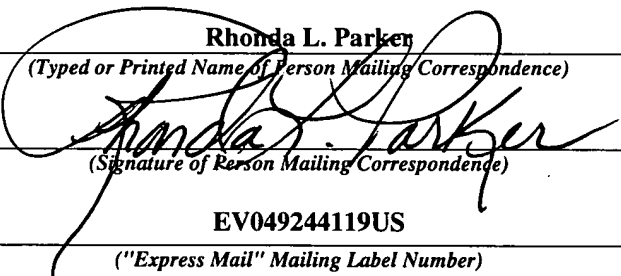
Jonathan P. Osha

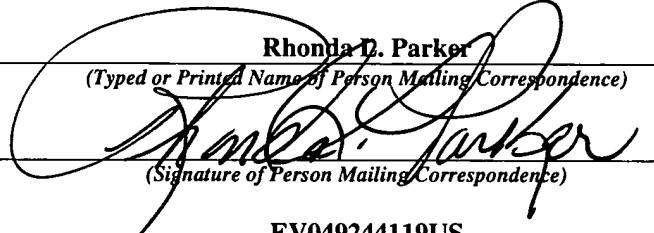
NAME

33,986

REGISTRATION NUMBER

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.1) Applicant(s): Alex RUELLE			Docket No. 11345/047001
Serial No.	Filing Date March 26, 2002	Examiner	Group Art Unit
Invention: WINDOWING SYSTEMS			
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> U.S. National Phase Application </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"> <u>March 26, 2002</u> <i>(Date)</i> </p> <div style="text-align: center; margin-top: 20px;"> <div style="display: inline-block; text-align: left;"> <div style="border-bottom: 1px solid black; width: 150px; margin-bottom: 5px;"></div> Rhonda L. Parker <i>(Typed or Printed Name of Person Mailing Correspondence)</i> </div> <div style="display: inline-block; text-align: center; margin-top: 10px;">  <i>(Signature of Person Mailing Correspondence)</i> </div> <div style="border-bottom: 1px solid black; width: 150px; margin-top: 10px;"></div> EV049244119US <i>("Express Mail" Mailing Label Number)</i> </div>			

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.101)			Docket No. 11345/047001	
Applicant(s): Alex RUELLE				
Serial No.	Filing Date March 26, 2002	Examiner	Group Art Unit	
Invention: WINDOWING SYSTEMS				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; height: 40px; margin: 10px 0;"></div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>Assignment</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u>March 26, 2002</u> <i>(Date)</i></p> <div style="text-align: center; margin-top: 20px;"> <p>Rhonda D. Parker <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p>  <p><i>(Signature of Person Mailing Correspondence)</i></p> <p>EV049244119US <i>("Express Mail" Mailing Label Number)</i></p> </div>				
<p>Note: Each paper must have its own certificate of mailing.</p>				